

DRAFT MEDICINAL CANNABIS OWNER SUBMITTAL FORM GUIDE

SECTION A- OWNER INFORMATION

An owner is defined as a person with an aggregate ownership interest in the commercial cannabis business of 20 percent or more, unless the interest is solely a security, lien or encumbrance. List all owners as defined below:

- A person with an aggregate ownership interest of 20 percent or more in the person applying for a license or a licensee, unless the interest is solely a security, lien or encumbrance.
- The chief executive officer of a nonprofit or other entity (i.e. corporation).
- A member of the board of directors of a nonprofit.
- An individual who will be participating in the direction, control, or management of the person applying for a license.
- An owner who is an individual participating in the direction, control, or management of the commercial cannabis business included any of the following:
 - A partner of a commercial cannabis business that is organized as a partnership.
 - A member of a limited liability company of a commercial cannabis business that is organized as a limited liability company.
 - An officer or director of a commercial cannabis business that is organized as a corporation.

Ownership Percentage

The percentage of the ownership interest held in the applicant entity by the owner.

Job Title

The owner's title within the applicant entity must be provided, examples include: Controlling Member, General Partner, Sole Proprietor, Limited Partner, Officer, etc.

SECTION B- DECLARATIONS

Sanctioned, Suspension, Revocation or Denials

The term "sanctioned" refers to being fined, placed on probation, had your business shut-down, or other similar penalty imposed.

If the owner has been denied a license, or has had a license suspended or revoked by a state or local cannabis licensing authority, the applicant must provide the type of license applied for, the name of the licensing authority that denied the application, and the date of denial.

Financial Interest in a Licensed Cannabis Business

The owner must provide the license number, issuance date and issuing agency for any licensed commercial cannabis business the applicant holds an ownership or financial interest as defined in Business and Professions Code section 26001(al) and sections 5003 and 5004 of Title 16 of the California Code of Regulations.

Prior Convictions

The owner must disclose that she/he has been convicted of a crime, the date of conviction, type of conviction, criminal violation, dates of incarceration, dates of probation, dates of parole, and a detailed description of the conviction.

A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Convictions dismissed under Penal Code section 1203.4 or equivalent non-California law must be disclosed. Convictions dismissed under Health and Safety Code section 11361.8 or equivalent non-California law must be disclosed. Juvenile adjudications and traffic infractions under \$300 that did not involve alcohol, dangerous drugs, or controlled substances do not need to be included.

Equity Criteria

The owner may disclose if they are a current Marin County resident with residency of at least 5 years, and if they have an annual income of less than 80% of Marin's average median income adjusted for household size.

SECTION C- REQUIRED ATTACHMENTS/DOCUMENTS

Government-Issued Identification

Acceptable forms of identification are a document issued by a federal, state, county, or municipal government that includes the name, date of birth, physical description, and picture of the person.

Proof of Residency (Optional)

Proof of Marin residency for a total of 5 years shall include a minimum of two of the documents listed below:

- California driver's record; or
- California identification card record; or
- Property tax billing and payments; or
- Verified copies of state or federal income tax returns; or
- Utility company billing and payment covering any month in each of the XX years.

Additional Information

Each owner must electronically submit fingerprint images through an approved Live Scan Operator to the Department of Justice (DOJ). The owner(s) must use the live scan form provided by the County of Marin. Live Scan locations can be found at:

<https://oag.ca.gov/fingerprints/locations>