

Capital Fund Program
(CFP) Amendment
To The Consolidated Annual Contributions
Contract (form HUD-53012)

American Recovery and Reinvestment Act (ARRA) Competitive Grant

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Whereas, (Public Housing Authority) Housing Authority of County of Marin (CA052) (herein called the "PHA")
and the United States of America, Secretary of Housing and Urban Development (herein called "HUD") entered into Consolidated Annual Contributions

Contract(s) ACC(s) Number(s) SF-258 dated: 4/15/1966.

Whereas, HUD has agreed to provide American Recovery and Reinvestment Act (ARRA) assistance, upon execution of this Amendment, to the PHA in the amount to be specified below for the purpose of assisting the PHA in carrying out capital and management activities at public housing developments in accordance with the requirements of the ARRA including Title XVI general provisions of the ARRA, in order to ensure that such developments continue to be available to serve low-income families:

\$ 1,235,000.00 for Fiscal Year 2009 to be referred to under Capital Fund Elderly and Persons with Disabilities Recovery Competitive Grant (CFRC) Number CA05200000209E

PHA Tax Identification Number (TIN) 94-6002988.

Whereas, HUD and the PHA are entering into the CFP Amendment Number ~~2009~~-E 54

Now Therefore, the ACC(s) is (are) amended as follows:

1. The ACC(s) is (are) amended to provide CFP assistance in the amount specified above for capital and management activities of PHA developments. This Amendment is a part of the ACC(s).

2. The capital and management activities shall be carried out in accordance with all HUD regulations and other requirements applicable to the Capital Fund Program, ARRA and in accordance with the PHA's current CFP Capital Fund Five-Year Action Plan (HUD-50075.2).

3. Requirements and certifications contained in the application submitted in response to the Notice of Funding Availability (NOFA) dated June 3, 2009, shall be binding commitments of the PHA, including general and project specific thresholds and all other requirements. The commitments made for each grant application will be strictly monitored and enforced. A false statement in an application is grounds for denial or termination or recapture of an award and possible criminal, civil or administrative sanctions. See Section VI.B.3.n. of the NOFA for enforcement requirements. Applicants are required to keep on file documentation to support certifications.

4. If the PHA does not comply with any of its obligations under this Amendment, HUD shall impose such penalties or take such remedial action as provided by law. HUD may direct the PHA to terminate all work. In such case, the PHA shall only incur additional costs with HUD approval.

5. Subject to the provisions of the ACC(s) and to assist in the capital and management activities, HUD agrees to disburse to the PHA from time to time as needed up to the amount of the funding assistance specified herein.

6. The PHA shall continue to operate each development as public housing in compliance with the ACC(s), as amended, the Act and all HUD regulations for a period of twenty years after the last disbursement of CFP/ARRA assistance for modernization activities and for a period of forty years after the last distribution of CFP/ARRA assistance for development activities. Provided further that, for a period of ten years following the last payment of assistance from the Operating Fund to the PHA, no disposition of any development covered by this Amendment shall occur unless approved by HUD.

7. By execution of this Amendment, all PHAs that are PHAS troubled acknowledge and agree to additional monitoring and oversight by HUD and its agents/contractors as deemed appropriate and necessary in order to ensure the proper use of the funds received by this Amendment.

8. This grant is conditioned on the acceptance of the PHA to comply with the reporting requirements of the ARRA, including, but not limited to, reporting requirements from the Office of Management and Budget (OMB) and the following requirements:

- Funds cannot be transferred to operations or used for rental assistance activities.
- The PHA must obligate 100% of the grant within one year of the effective date. At the one year date any unobligated funds will be recaptured.
- The PHA must expend at least 60% of the grant within two years of the effective date. At the two year date, if less than 60% is expended any unexpended funds will be recaptured.
- The PHA must expend 100% of the grant within 3 years of the effective date. At the three year date, any unexpended funds will be recaptured.
- Extensions to the obligation and expenditure dates are not permitted.
- The PHA must submit a CFP Annual Statement/Performance and Evaluation Report (HUD-50075.1) no later than 21 calendar days from the effective date.
- The PHA is required to amend the CFP Capital Fund Five-Year Action Plan, if the activities are not already included.
- The PHA must use the funds provided in this grant to supplement expenditures not supplant expenditures from other Federal, State, or local sources or funds independently generated by the grantee.
- Requirements relating to the procurement of goods and services arising under state and local laws and regulations shall not apply to the CFP assistance provided by this agreement.
- Compliance with the ARRA Buy American provisions, as detailed in OMB and HUD guidance.

9. The PHA acknowledges its responsibility for adherence to this Amendment.

The parties have executed this Agreement, and it will be effective on 9/28/2009. This is the date on which CFRC assistance becomes available to the PHA for obligation.

U.S. Department of Housing and Urban Development

By *[Signature]* Date: 9/29/09

Title *DAS, OPAI*

PHA Executive Director

By *[Signature]* Date: 9/24/09

Title *Executive Director*

Annual Statement
Capital Fund Program (CFP)

Part I: Summary

PHA Name:		Grant Type and Number:		Federal FY of Grant:	
Housing Authority of the County of Marin		Capital Fund Program Grant No:		CA05200000209E	
4020 Civic Center Drive, San Rafael, CA 94903		Revision No. 1		2009	
☒ Original Annual Statement		☒ Reserve for Disasters / Emergencies		☒ Revised Annual Statement: (Revision No. 1)	
☐ Performance and Evaluation Report for Period Ending:		☐ Final Performance and Evaluation Report			

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$125,000	\$125,000		
2	1406 Operations (may not exceed 20% of line 20)	\$0	\$0	\$0	\$0
3	1408 Management Improvements -- Soft Costs	\$0	\$0	\$0	\$0
4	1410 Administration (may not exceed 10% of line 20)	\$123,500	\$123,500	\$123,500	\$22,568
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees & Costs	\$185,250	\$55,250	\$0	\$0
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$202,000	\$232,472	\$0	\$0
10	1460 Dwelling Structures	\$510,000	\$718,610	\$0	\$0
11	1465.1 Dwelling Equipment - Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Non-Dwelling Structures	\$137,750	\$105,168	\$0	\$0
13	1475 Non-Dwelling Equipment	\$0	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
16	1495.1 Relocation Costs	\$76,500	\$0	\$0	\$0
17	1499 Development Activities	\$0	\$0	\$0	\$0
18a	1501 Collateralization of Debt Service Paid by PHA	\$0	\$0	\$0	\$0
18b	9000 Collateralization of Debt Service Paid Via System of Direct Payment	\$0	\$0	\$0	\$0
19	1502 Contingency	\$0	\$0	\$0	\$0
20	Amount of Annual Grant: (sum of lines 2-19)	\$1,235,000	\$1,235,000	\$123,500	\$22,568

H-2

Annual Statement
Capital Fund Program (CFP)

Part I: Summary

PHA Name: Housing Authority of the County of Marin 4020 Civic Center Drive, San Rafael, CA 94903		Grant Type and Number: Capital Fund Program Grant No: CA05200000209E Revision No. 1		Federal FY of Grant: 2009
☒ Original Annual Statement ☐ Performance and Evaluation Report for Period Ending:		☒ Revised Annual Statement: (Revision No. 1) ☐ Final Performance and Evaluation Report		

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of line 20 Related to LBP Activities	\$0	\$0	\$0	\$0
22	Amount of line 20 Related to Section 504	\$1,235,000	\$1,235,000	\$0	\$0
23	Amount of line 20 Related to Security - Soft Costs	\$0	\$0	\$0	\$0
24	Amount of line 20 Related to Security - Hard Costs	\$0	\$0	\$0	\$0
25	Amount of line 20 Related to Energy Conservation Measures	\$0	\$0	\$0	\$0

Signature of the Executive Director:	Date	Signature of Public Housing Director:	Date
Dan Nackerman	9/1/2010	Stephen Schneller	

H-3

Annual Statement				Part II: Supporting Pages				
Capital Fund Program (CFP)				Capital Fund Program Grant No: CA05200000209E				
PHA Name: Housing Authority of the County of Marin 4020 Civic Center Drive, San Rafael, CA 94903				Grant Type and Number: Revision No. 1		Federal FY of Grant: 2009		
Development Number/Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Qty.	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
CA39P052007 Kruger Pines	Activity 1 (upgrade 17 units to UFAS)	1460		\$510,000	\$718,610	\$0	\$0	
CA39P052007 Kruger Pines	Activity 3 (Non-Dwelling Areas Site Improvements)	1450		\$202,000	\$232,472	\$0	\$0	
CA39P052007 Kruger Pines	Activity 4 (Creation of Space for Service Providers)	1470		\$137,750	\$105,168	\$0	\$0	
PHA Wide 052 Agency Wide	PHA Administration	1410		\$123,500	\$123,500	\$123,500	\$22,568	
Elderly Projects Elderly / Disabled Developments	Fees & Costs	1430		\$185,250	\$55,250	\$0	\$0	Some fees & costs to be paid from non-HUD funds
CA39P052007 Kruger Pines	Relocation Costs	1495		\$76,500	\$0	\$0	\$0	Relocation to be paid from non-HUD funds
				\$0	\$0	\$0	\$0	

H-4

Annual Statement Capital Fund Program (CFP)				Part II: Supporting Pages				
PHA Name:		Grant Type and Number:		Capital Fund Program Grant No:		Federal FY of Grant:		
Housing Authority of the County of Marin		4020 Civic Center Drive, San Rafael, CA 94903		CA052000000209E		2009		
				Revision No. 1				
Development Number/Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Qty.	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
				\$0	\$0	\$0	\$0	
				\$1,235,000	\$1,235,000	\$123,500	\$22,568	
	TOTALS							

H5

Annual Statement
Capital Fund Program (CFP)

Part III: Implementation Schedule

PHA Name: Housing Authority of the County of Marin 4020 Civic Center Drive, San Rafael, CA 94903		Grant Type and Number: Capital Fund Program Grant No: CA05200000209E Revision No. 1		Federal FY of Grant: 2009			
Development Number/ Name/HA-Wide Activities	All Funds Obligated		All Funds Expended		Reasons for Revised Target Dates		
	Original	Revised	Actual	Original (60%)		Original (100%)	Actual
CA39P052007 Kruger Pines	9/27/2010			9/27/2011	9/27/2012		Obligation & expenditure "Start Date" is 9/28/2009. (ACC executed by HUD on 9/29/2009.)
PHA Wide 052 Agency Wide	9/27/2010			9/27/2011	9/27/2012		Obligation Deadline for 100% of 209E Grant is One Year (or 9/27/2010).
							60% Expenditure Deadline for grant is Two Years (or 9/27/2011).
							100% Expenditure Deadline for grant is Three Years (or 9/27/2012).

H-6